

Attachment III (Revised 12/22/03)

Evaluation Criteria for “Specificity of Strategy” and “Fit to Strategy”

The SRFB’s Review Panel will evaluate how well each lead entity’s list of projects addresses the priorities identified in the lead entity’s strategy. To do this, SRFB staff and the ITF is proposing that the Review Panel use a series of scored evaluation questions.

The SRFB agreed that it would be inappropriate to evaluate the quality of lead entity strategies for the Fifth Grant Round since there has been too little time for lead entities to react to the comments from the Fourth Round Technical Panel and the new *Guide to Lead Entity Strategy Development*. However, it is difficult to evaluate how well a lead entity’s list of projects addresses the priorities identified in the lead entity’s strategy if the strategy is vague, nonspecific, or lacks focus. Therefore, the Review Panel will also evaluate the specificity and focus of strategies.

In the proposed approach below, the Review Panel would evaluate the focus and specificity of a strategy in four categories, producing a possible score between zero and one. The fit of a project list to the strategy is evaluated in five different categories and produces a possible score between zero and 60. The resulting score for “fit to strategy” is then multiplied by the score for “focus and specificity” to produce a final score between zero and 60.

Specificity and Focus of Strategy

The Review Panel’s evaluation of the specificity and focus of a strategy will be performed in four categories: species, habitat features and watershed processes, actions and geographic areas, and community issues. For each of the four categories the Review Panel will use scores ranging from zero to ten points. The points will be added after applying the appropriate multipliers and then divided by the maximum possible number of points (40), resulting in a score for “specificity and focus” that ranges from zero to 1.0. A strategy that is vague, nonspecific and lacks focus would score low while a strategy that is very specific and focused would score near or at 1.0.

Species and stocks

10 points	↑	The strategy identifies all stocks ¹ in the lead entity area, and the status of each stock. One or more stocks are prioritized and the lead entity's ranking criteria ² reflect these priorities ³ .
		The strategy is unclear and/or nonspecific about priority stocks.
0 points		Stocks are not prioritized in the strategy.

Habitat features and watershed processes

10 points	↑	The strategy clearly prioritizes habitat features and watershed processes and the lead entity's ranking criteria reflect these priorities.
		The strategy clearly prioritizes habitat features and but does not significantly address and prioritize watershed processes.
		The strategy prioritizes habitat features and/or watershed processes but is unclear and/or nonspecific.
0 points.		The strategy does not prioritize habitat features or watershed processes.

Actions and geographic areas

10 points.	↑	The strategy clearly prioritizes specific actions and geographic areas and the lead entity's ranking criteria reflect these priorities ⁴ .
		The strategy prioritizes actions and geographic areas but is unclear, and/or nonspecific.
0 points.		The strategy does not prioritize actions and areas.

¹ "Stock" is a salmonid subpopulation as designated in the *Salmon and Steelhead Stock Inventory*. Alternatively, lead entities may choose the term "population" as used by NOAA-Fisheries.

² The Review Panel will expect that the ranking criteria used by the lead entity will be part of the lead entity strategy or will be submitted with the strategy.

³ This means that the lead entity has identified one or several species or stocks as the highest priority for habitat protection and/or restoration actions. Lead entities are not expected to prioritize one listed species or stock over another, although they may want to prioritize one listed stock of the same species over another if NOAA-Fisheries or USFWS recovery documents have identified high priority populations for their area. A lead entity may also choose to prioritize unlisted species and stocks. If a lead entity strategy adopts a multispecies approach, it is important that the species or stocks are identified along with the rationale for selecting them.

⁴ Not all priority actions need to translate into priority areas of the watershed but all priority areas should have priority actions. See the *Guide to Lead Entity Strategy Development*.

Community issues

10 points	<p>The strategy clearly identifies community issues and concerns and proposes specific actions for building or maintaining community support for highest biological priority actions and areas.</p> <p>The strategy clearly identifies community issues and concerns and proposes actions for building or maintaining general community support for habitat restoration and/or protection but not specifically for priority actions and areas.</p> <p>The strategy identifies community issues and concerns but does not propose actions for building or maintaining community support. The project evaluation criteria recognize community values of proposed projects but not how a project would help build community support for other habitat restoration or protection efforts.</p>
0 points	<p>The strategy does not identify community issues and concerns and does not propose actions for building or maintaining community support. Community issues are not considered in the project evaluation criteria.</p>

Fit of the Project List to the Strategy

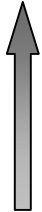
The Review Panel's evaluation of the fit of the lead entity list of projects to the lead entity strategy will be performed using five categories: targeted species, targeted habitat features and watershed processes, priority actions and areas, community issues, and project ranking. These areas are based on the *Guide to Lead Entity Strategy Development*. For each one of the five evaluation categories, the Review Panel will use scores ranging from zero to ten points. The five scores will be added after applying the appropriate multipliers, resulting in a total score for "fit to strategy" for each lead entity list (see table).

The ten-point range for each category is considered a continuum. The outline below defines the extremes (zero and ten points) and provides guidance to Review Panel members for choosing a value within that range.

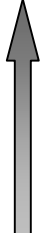
Species and stocks

10 points	<p>The entire project list targets the highest priority stocks identified in the strategy.</p>
6 points	<p>Two-thirds of the list targets the highest priority stocks.</p>
3 points	<p>One-third of the list targets the highest priority stocks.</p>
0 points.	<p>The list does not target the highest priority stocks.</p>

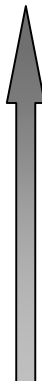
Habitat features and watershed processes

10 points		The entire project list addresses the highest priority habitat features and/or watershed processes identified in the strategy.
7 points		Two-thirds of the list addresses the highest priorities.
3 points		One-third of the list addresses the highest priorities.
0 points.		The strategy does not address highest priority habitat features or watershed processes.

Actions and geographic areas

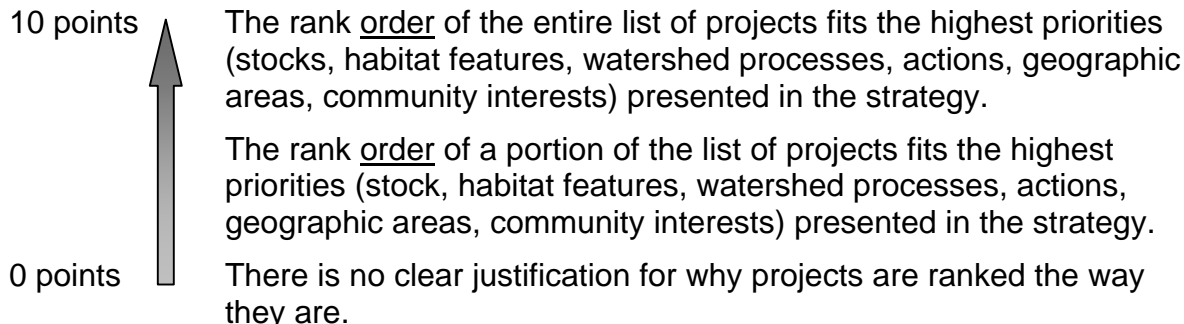
10 points		The entire project list addresses the highest priority actions and areas ⁵ .
7 points		Two-thirds of the list addresses the highest priority actions and areas.
3 points		One-third of the list addresses the highest priority actions and areas.
0 points		The strategy does not address the highest priority actions and areas.

Community issues

10 points		One or more projects on the list addresses one or more of the highest priority actions for building or maintaining community support for highest biological priority actions and/or areas.
		One or more projects on the list addresses one or more of the actions for building or maintaining community support but not in the highest biological priority actions and areas.
		One or more projects on the list builds or maintains general community support but not for specific actions and/or areas
0 points		There are no projects on the list that address actions for building or maintaining community support.

⁵ Not all priority actions need to translate into priority areas of the watershed but all priority areas should have priority actions. See the *Guide to Lead Entity Strategy Development*.

Fit of project ranking



Combining the Results

The tables below combine the scores for each category. Several categories were given extra weight based on relative importance.

<u>Criterion: Specificity and Focus of Strategy</u>	<u>Multiplier</u>	<u>Total Points</u>
Targeted species	.5	5
Targeted habitat features and watershed processes	1	10
Priority actions and areas	1.5	15
Community issues	1	10
Total possible points for specificity		40
Score for “specificity and focus” = (Total Points)/40		1.00

<u>Criterion: Fit to Strategy</u>	<u>Multiplier</u>	<u>Total Points</u>
Targeted species	1	10
Targeted habitat features and watershed processes	1	10
Priority actions and areas	1.5	15
Community issues	1	10
Fit of project <u>ranking</u>	1.5	15
Total possible points for fit to strategy		60

Total Score = (“Specificity and Focus” Score) x (“Fit to Strategy” Score)